

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RHEE

Serial No: 09/776,379

Filed: February 2, 2001

For:

REAL LIFE IMPLEMENTATION OF

MODERN PORTFOLIO THEORY (MPT) FOR FINANCIAL PLANNING AND PORTFOLIO MANAGEMENT Art Unit: 2164

Examiner: To be assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents Washington D.C. 20231, on

May 31, 2001 Date of Deposit

Wei-Fu Hsu

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Corrected Application Papers, Filing Date Granted," dated March 15, 2001 to which a response is due June 15, 2001, enclosed are the following:

A copy of the "Notice to File Corrected Application Papers, Filing Date Granted."

A copy of the substitute drawings (9 sheets) in compliance with 37 CFR 1.84.

A "Petition for Extension of Time" for one month and a check for the required fee in the amount of \$110.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: May 31, 2001

Wey-Fu-Hsu

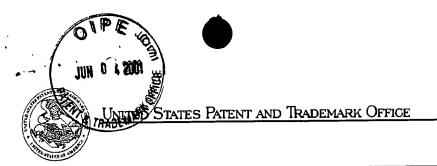
Registration No. 45,723
Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700 Fax: 213-337-6701



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/776,379

02/02/2001

Thomas A. Rhee

CONFIRMATION NO. 8077

FORMALITIES LETTER

OC00000005865993

Hogan & Hartson L.L.P. Suite 1900 500 South Grand Avenue Los Angeles, CA 90071

Date Mailed: 03/15/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE